Gene amplification is one of the most important mechanisms leading to deregulated gene expression in cancer. The exact quantitative detection of this frequent genomic alteration in solid tumors is often hampered by an admixture of nonneoplastic bystander and stroma cells. To overcome this obstacle and to develop an objective quantitative method we have combined laser-assisted microdissection of tumor cells with the novel 5’-exonuclease-based real-time polymerase chain reaction (PCR) assay. The latter method enables the highly reproducible exact quantification of minute amounts of nucleic acids. As a model system amplification of c-erbB2/Her-2/neu gene and the adjacent topoisomerase IIα gene was determined in paraffin-embedded breast cancer specimens ($n = 23$) after immunohistochemical labeling and laser-based microdissection of tumor cells. The high sensitivity of real-time PCR enabled the reliable and objective detection of low-level amplifications in as few as 50 cells from archival tissue sections. Low-level amplifications were shown to escape from detection unless tumor cells were isolated by microdissection. In selected cases intratumor heterogeneity was demonstrated using areas of ~50 to 100 cells. This novel approach combining immunohistochemistry, laser microdissection, and quantitative kinetic PCR allows morphology-guided studies in archival tissue specimens and will enable the exact quantification of gene copy numbers in even small and precancerous lesions. (Am J Pathol 2000, 156:1855–1864)
the unsatisfying preservation of histological details and the difficulties in the reproducible detection and objective scoring of low levels of amplification (less than fivefold).

In this study we used the recently introduced technique of laser-based microdissection to obtain pure tumor cell populations from formalin-fixed paraffin-embedded histological sections which were further analyzed by quantitative real-time PCR for objective and reliable quantification of even small amplifications. Under microscopic control exact separation of tumor cell complexes from surrounding stroma cells and contact-free isolation of these cells is possible. The novel real-time PCR technology which utilizes the 5’exonuclease activity of the Taq polymerase in combination with a fluorescent hybridization probe indicating PCR product accumulation offers the advantage of a very sensitive exact quantification of minute amounts of nucleic acids.

As a model system we have analyzed amplification of the c-erbB2 gene, coding for an epidermal growth factor receptor-related protein and for the adjacent topoisomerase IIα gene in archival breast cancer specimens. In breast cancer, c-erbB2 amplification is a frequent event reported to be of prognostic significance. A close correlation between the level of c-erbB2 gene amplification and protein expression has been demonstrated in several studies. In this study we demonstrate that the combination of laser-based microdissection and real-time PCR allows the assessment of quantitative alteration of gene dosages in microscopically selected and immunohistochemically labeled pure tumor cells derived from archival paraffin-embedded tissue samples.

Materials and Methods

Cell Lines and Tumor Cell Samples

The breast cancer cell lines MCF-7, MDA-MB-231, MDA-MB-361, and T47D were obtained from ATCC and cultured according to the protocols supplied. Tumor tissue samples were obtained from the archive of the Institute of Pathology of the Hannover Medical School and the collection of frozen tumor specimens of the Hannover Medical School.

For control and optimization of the PCR assays high-molecular weight DNA was isolated from blood (after informed consent by healthy volunteers) using the QiaAmp blood kit from Quiagen (Hilden, Germany). Frozen biopsies and cultured cells were lysed overnight at 56°C in proteinase K digestion buffer (50 mmol/L Tris, pH 8.1; 1 mmol/L ethylenediaminetetraacetic acid; 0.5% Tween 20; 200 μg/ml proteinase K) and DNA was recovered by ethanol precipitation after extensive organic extractions.

Immunohistochemistry

In sections determined for microdissection the expression of the ErbB2 protein was detected with the polyclonal rabbit anti-human antiserum from DAKO (Golstrup, Denmark). The primary antibodies were visualized using the SuperSensitive alkaline phosphatase-detection kit from Biogenex (San Ramon, CA) with Fast Red as a substrate according to the instructions of the manufacturer. Topoisomerase IIα protein expression was monitored by staining with the monoclonal antibody Ki-S1 directed against a carboxy-terminal epitope of Topoisomerase IIα. For the diagnostically relevant scoring of the ErbB2 protein expression in serial sections the Herceptin assay (DAKO) was used.

Microdissection

For microdissection of frozen or formalin-fixed tissue sections we used the PALM Laser-MicroBeam System (P.A.L.M., Wolfratshausen, Germany) which enables the contact-free isolation of single cells or groups of cells (see Figure 7). The sections were mounted onto a polyethylene foil according to the manufacturer’s instructions. Frozen sections were fixed with ethanol immediately after cutting in a cryostat. Formalin-fixed sections were rehydrated following standard protocols. After immunohistochemical labeling of cells the sections were counterstained for 2 minutes in hematoxylin, fixed in 100% ethanol, and air-dried. The microdissected cells were catapulted into the lid of a 0.5-ml reaction tube using the laser pressure catapulting technique of the instrument. For isolation of the DNA 30 μl of proteinase K-digestion buffer (50 mmol/L Tris, pH 8.1; 1 mmol/L ethylenediaminetetraacetic acid; 0.5% Tween 20; 10 μg/ml proteinase K) were applied into the lid. Subsequently the tubes were closed in this inverted position and incubated overnight in a hybridization oven at 40°C. For inactivation of proteinase K the samples were centrifuged and incubated at 95°C for 10 minutes in a thermoblock with heated lid. An aliquot of this lysate was used for subsequent PCR analysis.

PCR, Probes, and Primers

In this study the quantitative real-time PCR technique based on the 5′ exonuclease activity of the Taq polymerase was used. In addition to the sense and antisense primer, a nonextendable oligonucleotide probe with a 5′ fluorescent reporter dye (6FAM) and a 3′ quencher dye (TAMRA) hybridizes downstream of the sense primer to the target sequence (see Figure 1). During the extension phase the Taq polymerase hydrolyzes this probe thereby generating a fluorescence signal which is directly proportional to the amount of PCR product synthesized. This fluorescence signal is monitored on-line using the laser detector of the ABI Prism 7700 Sequence Detection System (PE Applied Biosystems, Weiterstadt, Germany).

The PCR amplification was performed using a 96-well tray and optical caps (PE Applied Biosystems) with a 40-μl final reaction mixture containing 250 mmol/L each primer, 150 mmol/L probe, 1 unit of AmpliTaq Gold, 200 μmol/L each of dATP, dCTP, dGTP, and dTTP in 1× TaqMan buffer A. The magnesium-ion concentration for each primer pair is listed below together with the primer
sequences. The reaction mixture was preheated at 95°C for 10 minutes, followed by 40 cycles at 95°C for 15 seconds and 60°C for 1 minute.

The primer and probe sequences and the magnesium concentration are as follows (in all cases, the first oligonucleotide is the forward PCR primer, the second one is the TaqMan probe, and the third one is the reverse PCR primer): 1) c-erbB2, 5’/H11032-AGCCTCTGCATTTAGGGATTCTC, 6FAM5’/H11032-TGAGAACGGCTGCAGGCAACCC-3’/H11032-TAMRA, and 5’/H11032-CTAGCGCCGGGACGC (4.5 mmol/L MgCl2); 2) topo II, 5’/H11032-GGCCAGAATCTGTTCGCTTCAAC, 6FAM5’/H11032-AA-GCAGCCAGGCTGCCTGTCCAG-3’/H11032-TAMRA, and 5’/H11032-AGGAACTGAGTGCCGGCTT (5 mmol/L MgCl2); 3) app, 5’/H11032-TCAGGTTGACGCCGCTGT, 6FAM5’/H11032-ACCCCAGAGGAGCGCCACCTG-3’/H11032-TAMRA, and TTCGTAGCCGTTCTGCTGC (3.5 mmol/L MgCl2); 4) dck, 5’/H11032-GCCGCCACAAGAC-TAAGGAAT, 6FAM5’-AGCTGCCGCCGTCTTCTACGCCG-3’/H11032-TAMRA, and CGATGTTCCTTTCGATGGAG (6 mmol/L MgCl2).

All PCR reagents, including the primers and fluorescent dye-labeled probes, were obtained from PE Applied Biosystems (Weiterstadt, Germany). To avoid any contamination of the preparation and staining of the tissue sections, the microdissection and the PCR set-up were performed in a separate laboratory under sterile conditions. In every run three negative controls for each probe were included to exclude false-positive results.

Evaluation of Real-Time PCR Results

Initial template concentration was derived from the cycle number at which the fluorescent signal crossed a threshold in the exponential phase of the polymerase chain reaction (CT-value, see Figure 2). The relative gene copy number was determined based on the threshold cycles of the gene of interest and of two internal reference genes. For calculation of gene amplifications in tumor tissue this relative value (CT-value [target gene] minus CT-value [reference gene]) is compared with the same value for adjacent normal tissue from the very same tissue section (Figure 3).

As reference, the two genes app (chr.4q11-q13) and dck (chr.21q21.2) were chosen from chromosomal regions for which no amplifications in breast cancer have been reported.

To eliminate all variabilities because of sample impurities and fixation artifacts from the measurement and calculation of the amplification factors we always compared microdissected tumor cells with normal tissue isolated from the same section (mostly stroma cells and fat tissue).

The amplification is expressed as gene copy number in tumor cells divided by gene copy number in euploid cells. That means a level of amplification of, eg, 5 indicates 10 copies of the c-erbB2 gene. The detection threshold was a reduction of the relative CT-value by one cycle corresponding to a level of amplification of 1.8 ± 0.1.

Results

Development of the Real-Time PCR Assay

In a first step we optimized reaction conditions for maximal efficiency (indicated by a low CT-value and a high
fluorescence value in the plateau phase of the reaction). Performing magnesium ion titrations we found marked differences for the optimal reaction conditions for the four different primer/probe combinations stressing the importance of individual reaction condition optimization. Using highly purified DNA samples two to four copies (the equivalent of one or two normal euploid cells) of target gene could be reproducibly detected demonstrating the superior sensitivity of the real-time PCR technology.

As references the two genes app (amyloid precursor protein) and dck (deoxycytidine kinase) were used because Bieche and colleagues have described the suitability of these chromosomal regions (chr. 4q11-q13 and chr. 21q21.2) as references for quantitative PCR experiments in a series of more than 100 tumor homogenates. The exact primer and probe sequences for detection of these two loci were designed in a completely different way to increase the efficiency of the amplifications and thereby the sensitivity of the assay. This was necessary for the application of this method to the analysis of paraffin-embedded microdissected tissue samples.

**Determination of Amplification Status**

After optimization of all PCR systems the gene copy numbers for the c-erbB2 and the topoisomerase IIα gene were measured in leukocyte DNA from healthy donors (n = 8), DNA from frozen normal control biopsies (n = 3), and DNA from cultured cell lines with known amplification status of the c-erbB2 and the topoisomerase IIα genes (MCF-7, MDA-MB-231, MDA-MB-361, T47D)\(^{36,37}\). The constant numerical ratios of target genes and control genes for the samples without amplification were verified in all cases. For the cell line MDA-MB-361 the cytogenetically described amplification of the c-erbB2 gene and the deletion of the topoisomerase IIα gene was demonstrated.

**Suitability of Paraffin-Embedded Specimens**

From 23 formalin-fixed paraffin-embedded samples analyzed together, 21 were suitable for PCR analysis (91%) indicated by reproducible \(C_T\)-values smaller than 38 cycles, a very small variation between the reactions performed in duplicates (coefficient of variation < 1%), high fluorescent signals in the plateau phase of the reaction (\( \Delta R_n > 0.5 \)) and similar reaction efficiencies in all reactions (>1.8). In two cases the fluorescent signals generated during PCR amplification were so weak and variable that a reliable quantitation could not be performed. In five cases both cryosections and paraffin sections were available. Four out of five showed complete concordance, in one case only in the fresh frozen material a slight amplification near the detection threshold was demonstrated.

Among the cases tested for c-erbB2 amplification was one sample derived from a twenty-year-old paraffin-embedded tumor specimen displaying a moderate protein overexpression. A low level of amplification (twofold) was still demonstrable in this case (case 11).

**Assessment of the Minimal Cell Number Required**

To demonstrate the reliability of the relative quantification approach we diluted DNA with a known amplification status (isolated from cell lines MCF-7 and MDA-MB-361 with a cytogenetically determined amplification and from normal tissue) up to a concentration of approximately one cell per reaction and measured the relative amounts of target and reference genes. Down to cell numbers of five cells per reaction the ratios of all four genes to each other gene were constant (see Figure 4). This means that for the reliable quantification of the gene copy number of one target gene relative to two reference genes at least 15 cells have to be analyzed (or 30 cells for performing all reactions in duplicate). Dissecting formalin-fixed tissue
sections the sensitivity was slightly lower requiring ~50 cells for the reproducible quantification of gene amplifications.

Requirement of Microdissection for Detection of Low-Level Amplifications

To find out how necessary microdissection is for a sensitive detection of low levels of amplification we analyzed, in parallel, several cases undissected and after microdissection (n = 5). For this purpose a whole section was analyzed in comparison to a microdissected sample from a serial section. In two cases with marked heterogeneous protein expression, a gene amplification was detectable only in the microdissected samples. In the remaining cases omission of microdissection drastically reduced the high level of amplification measured in the microdissected samples. To obtain more quantitative information we performed mixing experiments with microdissected samples from four cases. For this purpose a constant amount of microdissected tumor cells displaying low-level amplifications (two- to fivefold) was mixed with normal tissue from the same tissue section (primarily connective tissue and fat tissue). Afterward the relative gene copy numbers were determined and compared with the original undiluted samples. The results clearly indicate that the low-level c-erbB2 gene amplification was not any longer detectable if the tumor cells comprised <30% of the cells analyzed.

Real-Time PCR with Immunohistochemically Labeled Cells

Immunohistochemical labeling of cells before microdissection has two advantages: 1) the data of the molecular genetic analysis can be correlated directly with protein expression data; and 2) immunohistochemical labeling of cells can support and extend the morphology-based classification of cells (Figure 5). It was therefore important to prove that staining with antibodies does not interfere with the quantitative real-time PCR. Careful analysis of amplification plots for several cases demonstrated that the efficiency of the amplification reactions was not altered and that identical changes in gene copy numbers were determined.

Amplification of the c-erbB2 Gene

From the 11 cases with immunohistochemically demonstrated Erb-B2 protein overexpression, 10 showed amplification of the c-erbB2/Her2/neu gene. The levels of amplification ranged from 3 to 10. One case with an overt gene amplification showed an expression pattern that was classified as incomplete (case 2, <10% of the cells show a complete staining of the membrane).

One case with a strong protein expression by the intraductal component and no detectable expression in the invasive component (see Figure 5) had a sevenfold amplification of the c-erbB2 gene in the intraductal tumor cells and no detectable amplification in the invasive growing tumor cells (Figure 6).
Amplification of the Topoisomerase IIα Gene

From the cases which had a c-erbB2 gene amplification, 2 out of 11 showed a clear co-amplification of the topoisomerase IIα gene (amplification factor: twofold), 1 out of 12 showed a clear deletion of the topoisomerase IIα gene, 4 out of 12 showed at least a deletion of the topoisomerase IIα gene in a subset of tumor cells (deletion factor: 1.5 to 2). This means that 7 out of 11 (64%) of the tumors with a c-erbB2 amplification showed an alteration in the topoisomerase IIα gene.

The amplification data are summarized in Table 1 together with the histological diagnosis and the results of the immunohistochemical staining.

Discussion

Alteration in gene copy number is one of the most important mechanisms leading to deregulated gene expression and neoplastic transformation. An exact quantitative analysis of this phenomenon during different stages of tumor development will contribute to our understanding of tumor evolution and progression. In addition, there is a growing number of reports in the literature correlating gene copy numbers of certain loci with disease progression and response to therapy. The analysis of small lesions and all formalin-fixed specimens. In addition, correlation of gene amplification with morphology is not possible and the sensitivity is limited because of contamination by nontumor cells. In contrast to Southern blotting, conventional quantitative PCR requires less material but it suffers also from some important disadvantages.

Conventional competitive and noncompetitive quantitative PCR assays require the analysis of a whole set of identical samples for a titration of the internal standard to quantitate the amount of target molecules in this sample. For this reason the analysis of microdissected samples is not possible, because they cannot be divided further into a set of five to six identical samples to perform the necessary titration of the internal standard. In addition, the linear range of these assays is much smaller than that of the kinetic real-time PCR, requiring extensive pre-testing of samples to approximately determine the amount of target molecules which is necessary for calibration of the internal standard. Again, this kind of pre-testing is not possible for analyzing the minute amounts of nucleic acids from formalin-fixed microdissected samples displaying a considerable heterogeneity with regard to DNA content.

Figure 6. Amplification plots for case 9. A. Microdissected intraductal component with c-erbB2 gene amplification. Amplification plot is shifted to the left (lower C(T) value). B. Microdissected invasive component. Amplification plot not altered. C. Amplification plot for microdissected normal tissue. (In each plot the second reference gene is omitted for reasons of clarity.)
The only technology combining tissue morphology and quantitative molecular analysis up to now is fluorescence in situ hybridization. This method is already widely used for the detection of c-erbB2 gene amplifications whereas immunohistochemistry reveals protein overexpression. But it is still controversial which detection method should be preferred for the evaluation of the c-erbB2 gene amplification status of a tumor. Especially small lesions (like atypical ductal hyperplasia and ductal carcinoma in situ) are difficult to study using fluorescence in situ hybridization. Besides the often poor preservation of morphological details after hybridization and the technical difficulties with reproducible quantification of hybridization signals (especially from low-level amplifications) the greatest limitation of the fluorescence in situ hybridization technique is the very restricted availability of standardized probes. The real-time PCR technology does not rely on long hybridization probes that are available only for a limited number of loci. There are also potentially important loci for which standardized manufactured hybridization probes suitable for analysis of paraffin-embedded biopsies are not at all available (eg, AIB-1, CDK4, PPABP). Therefore, the flexibility and versatility of the primer and TaqMan probe design offers a clear advantage especially in the area of research which should not be restricted by the availability of hybridization probes. In principal for all loci in the human genome for which sequence information is available in data bases a new quantitative real-time PCR assay can be developed and validated within two weeks.

The laser-assisted microdissection allows the contact-free isolation of morphologically and immunohistochemically defined cells or groups of cells. The superior quality of the morphology before and after microdissection is illustrated in Figure 7. In addition it is demonstrated that immunohistochemically labeling of cells does not interfere with the quantitative PCR. This greatly increases the possibilities to characterize cells before microdissection supplementary to the morphology-based classification. It enables now also the systematic study of the relationship between altered protein expression and structural genomic alterations. The results of this amplification measurement are completely independent of varying and at least in part subjective scoring systems and can be directly correlated to the well-preserved tissue morphology. The direct comparison of undissected and microdissected samples from the same tumor and the mixing experiments (dilution of microdissected tumor cells in stroma cells) clearly proved the necessity of microdissection to analyze pure tumor cell populations devoid of any contaminating bystander cells. Analysis of one tumor with a heterogeneous protein expression pattern (Figure 5) demonstrated the utility of this new methodology. After microdissection, different levels of amplification could be measured in different tumor areas using the real-time PCR: a sevenfold amplification of the c-erbB2 gene in the intraductal tumor cells and no detectable amplification in the invasive growing tumor cells (see Figure 6). These findings are in agreement with earlier results that invasion selects probably against amplification of the c-erbB2 gene. Using this new technique systematic studies of molecular alterations underlying heterogeneous protein expression in breast cancer specimens can be initiated.

The results concerning the co-amplification or deletion of the topoisomerase IIα gene in tumors displaying c-erbB2

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*Intruductal/invasesive component.

Table 1. Results of Gene Amplification Measurements and Immunohistochemical Detection of Protein Expression

Lob, lobular; Duct, invasive-ductal; Id, intraductal.

0, staining in less than 10%; 1+, complete membrane staining in less than 10%; 2+, weak complete membrane staining in more than 10%; 3+, strong complete membrane staining in more than 10%.
gene amplification are in concordance with the findings of other groups using fluorescence in situ hybridization. The frequency of topoisomerase IIa gene co-amplification detected by Southern blot is lower, most probably because of the reduced sensitivity of this technique. This lower sensitivity can prevent the detection of the frequent partial deletion in only a subset of tumor cells found in microdissected samples using quantitative real-time PCR. The advantages of the real-time PCR methodology are the broad linear range (five to seven orders of magnitude), the speed and high throughput of the system (96 samples are analyzed in less than 2 hours), the elimination of all postamplification steps, the exclusion of all plateau effects at the end of the PCR reaction from the quantitative analysis and the superior sensitivity which comes close to the theoretical detection limit. The detection threshold for the analysis of one locus in this study was 50 cells from formalin-fixed paraffin-embedded tissue sections using two reference genes and performing all reactions in duplicate. In some well-preserved tissue specimens the detection threshold was even lower. It is of note that no pre-amplification of the samples before the quantitative analysis was necessary. Such a pre-amplification can distort the subsequent quantitative analysis because of a slightly uneven amplification. Because the risk of contamination is a great problem working with minute amounts of starting material provided by laser-assisted microdissection, the omission of all postamplification steps using this technique is a great advantage.

The real-time PCR technology is most often used for the absolute quantification of nucleic acids. However, the absolute numerical quantification of gene copy numbers has some disadvantages: 1) preparation of exact quantitative standards is laborious and a serious source of errors; 2) stable storage of quantitative standards is very difficult to achieve; 3) amplification of several standards for generating a calibration curve for absolute quantification within each run is reducing the amount of samples which can be analyzed in each run thereby diminishing the throughput of the system; 4) the amplification of different template preparations (standard versus sample) is compared. This last point is of particular importance concerning the amplification of DNA from pathological specimens derived from heterogeneous sources with different degrees of tissue preservation. Slightest impurities in the sample and partial template degradation because of improper fixation will severely distort the absolute quantification. As a consequence we

Figure 7. Microdissection and isolation of intraductal carcinoma cells. A: Ductal-invasive breast carcinoma. Immunohistochemical detection of ErbB2 protein overexpression in the intraductal component. B: Intraductal tumor cells are dissected by the UV laser microbeam. C: Isolated intraductal component in the lid of a reaction tube. D: Section after removal of intraductal component A-D: hematoxylin counterstain, original magnifications, × 400.
used a relative quantification algorithm: The threshold value ($C_T$-value) of the gene of interest which is directly proportional to the absolute copy number is always compared to the threshold value for two reference genes. Performing this relative calculation all distortions of the amplification efficiency (and thereby the absolute quantification) because of fixation artifacts or sample impurities are eliminated from the calculation of gene copy amplification factors. In conclusion, the relative quantification is not only more simple and more economical (by saving reagents and time and enhancing the throughput) but also more reliable and exact for the determination of gene copy numbers in immunohistochemically stained archival tissue sections after microdissection.

To the best of our knowledge this is the first description of a quantitative kinetic PCR-assay to analyze formalin-fixed paraffin-embedded archival tissue sections after immunohistochemical staining and laser-assisted microdissection. The demonstration of reliable quantification in microdissected archival biopsies will enable the retrospective and prospective analysis of larger series of biopsies to address the importance of quantitative structural genomic alterations for the evolution and progression of neoplasia. As already pointed out this method can easily and quickly be extended to the analysis of every gene for which an amplification has been reported in the literature or might be assumed.

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References


