Clinical trials investigating mesenchymal stromal cell (MSC) therapy for bronchopulmonary dysplasia have been initiated; however, the optimal delivery route and functional effects of MSC therapy in newborns remain incompletely established. We studied the morphologic and functional effects of intranasal versus i.p. MSC administration in a rodent model of neonatal lung injury. Cultured human cord tissue MSCs (0.1, 0.5, or 1 × 10^6 cell per pup) were given intranasally or i.p. to newborn severe combined immunodeficiency-beige mice exposed to 90% O2 from birth; sham controls received an equal volume of phosphate-buffered saline. Lung mechanics, engraftment, lung growth, and alveolarization were evaluated 8 weeks after transplantation. High-dose i.p. MSC administration to newborn mice exposed to 90% O2 resulted in the restoration of normal lung compliance, elastance, and pressure-volume loops (tissue recoil). Histologically, high-dose i.p. MSC administration was associated with alveolar septal widening, suggestive of interstitial matrix modification. Intranasal MSC or lower-dose i.p. administration had no significant effects on lung function or alveolar remodeling. Pulmonary engraftment was rare in all the groups. These findings suggest that high-dose systemic administration of human cultured MSCs can restore normal compliance in neonatally injured lungs, possibly by paracrine modulation of the interstitial matrix. Intranasal delivery had no obvious pulmonary effects.

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pathologic hallmark of BPD is an arrest of alveolar development, characterized by large, simplified distal airspaces and dysmorphic microvasculature.

During the past decade, numerous studies have examined the role of stem cell therapy as an alternative or complementary approach for the treatment of chronic lung disease of the newborn (reviewed in several studies10–12). Several stem cell types have been tested in experimental models of neonatal lung injury, including mesenchymal stem/stromal cells (MSCs),13–15 endothelial progenitor cells or similar myeloid angiogenic progenitor cells,16 and CD34-positive hematopoietic progenitor cells7,18 (reviewed by Borghesi et al17). Based, in large part, on their reported preclinical and clinical success in various adult lung injury paradigms20–26 (reviewed by Weiss18), experimental attention has focused mainly on MSCs as the most promising candidate cell type for pulmonary regenerative therapy in neonatal lung injury. Attractive properties of MSCs in this context include their capacity to specifically home to injured tissue and to exert immunomodulatory activities with secretion of anti-inflammatory factors (eg, interferon-γ, IL-10, vascular endothelial growth factor, and hepatocyte growth factor),20,24,25,27,28 angiogenic factors,20 and anti-apoptotic factors.29 These properties are thought to promote an optimal milieu for repair and regeneration of the injured tissue. Exogenously administered MSCs are believed to exert their effects by cell contact–dependent and paracrine mechanisms involving secretion of specific mediators and transfer of cellular materials, such as proteins, nucleic acids, and cellular organelles (including mitochondria), to host cells via microvesicles30,31 (reviewed by Fung and Thebaud32).

Preclinical studies have demonstrated that intratracheal, i.p., or i.v. administration of bone marrow—or cord blood–derived MSCs can improve alveolar, airway, and vascular structure; improve lung function; attenuate inflammation; decrease fibrosis; ameliorate right heart function; and/or improve exercise capacity in hyperoxia-based neonatal rodent models of BPD13–15,33,34 As in adult models, the beneficial actions of MSCs are believed to be mediated through paracrine mechanisms and immunomodulatory effects rather than through cell engraftment.35,36 Umbilical cord blood or tissue (including Wharton substance) may represent an attractive tissue source of MSCs for pulmonary regenerative therapy in newborns. Human umbilical cord (blood or tissue) stem cells can be collected at no risk to the donor, have low immunoreactivity, and have low inherent pathogen transmission. Cord stem cells are especially attractive for the treatment of neonatal diseases because, ideally, the infant’s own cord-derived stem cells may be used as an autologous transplant, thus limiting the risk of infection or rejection.

The demonstrated efficiency of human umbilical cord–derived MSCs in preclinical models of neonatal lung injury/BPD has culminated in recent phase I clinical trials (reviewed by Antunes et al35). Currently, six clinical trials of MSC therapy for BPD have been registered with ClinicalTrials.gov (NCT01297205, NCT01632475, NCT01828957, NCT02023788, NCT01897987, and NCT01207869, http://clinicaltrials.gov, last accessed September 12, 2014). Five trials based in South Korea are using or have used Pneumostem MSCs (Medipost, Seoul, Republic of Korea), a human umbilical cord blood MSC preparation developed commercially for the purpose of cell therapy in premature infants with BPD. The results of the first completed study from the Republic of Korea, which was an open-label, single-center, phase I clinical study to evaluate the safety and efficacy of Pneumostem MSCs for BPD treatment, were recently published.36 In this study, intratracheal MSC transplantation (10 or 20 × 10⁶ cells/kg) was reported to be safe and associated with a significant reduction in various cytokines, including IL-6, IL-8, and transforming growth factor β1, in tracheal aspirates on posttransplantation day 7.38 One clinical trial from Taiwan describing the use of umbilical cord MSCs for severe BPD is registered with ClinicalTrials.gov (NCT01207869, http://clinicaltrials.gov/show/NCT01207869, last accessed September 12, 2014). The status of this latter study is unknown. All six clinical trials registered involve the delivery of MSCs via intratracheal administration at doses ranging from 3 to 20 × 10⁶/kg body weight.

The recent expansion of MSC therapy for neonatal lung diseases from preclinical studies to the clinical arena has introduced a sense of urgency to gain a better understanding of the characteristics, limitations, and benefits of this approach. Several important unresolved issues and knowledge gaps remain, including the optimal delivery route (intrapulmonary versus i.v./systemic), the importance of dose effects, the exact mechanisms of action, the importance of timing of administration, and the long-term effects.19 The aim of this study was to perform a systematic, comparative analysis of the dose-dependent effects of intranasal (i.n)/intrapulmonary versus (i.p.)/systemic MSC delivery. We carefully examined the functional effects of stem cells on long-term lung mechanics, histologic features, and structure. As a source of MSCs, we used human umbilical cord tissue—derived cultured MSCs. Hyperoxic exposure of newborn mice was used to induce early neonatal lung injury. As described by us and others,39–41 moderate to severe hyperoxia during the neonatal period provides a faithful replication of the early acute injury and subsequent alveolar simplification typical of preterm infants with BPD. Deeper insight into the characteristics of MSC therapy in neonatal lung diseases may contribute to evidence-based translation of preclinical experience to a much-needed clinical application.

Materials and Methods

Isolation, Culture, and Characterization of Cord MSCs

Human cultured umbilical cord tissue—derived MSCs (tissue cord MSCs, further described as MSCs) were used in all the experiments. Umbilical cord tissue was procured from uncomplicated full-term deliveries at The Christ Hospital (Cincinnati, OH) according to protocols approved by the
hospital’s Institutional Review Board and were sent to the Viacord Processing Lab (Cincinnati, OH). On receipt, the cord was cleaned with a chlorhexadine wipe and then was placed into a sterile cup with 10 mL of antibiotic solution (25 µg/mL gentamicin, 100 IU/mL penicillin, 100 µg/mL streptomycin, and 0.25 µg/mL amphotericin B; all from Lonza Group AG, Basel, Switzerland). After rinses with sterile phosphate-buffered saline (PBS), the cord tissue underwent overnight digestion in collagenase (Collagenase Lonza Group AG, Basel, Switzerland) with antibiotics in a calcium chloride—buffered digestion solution (37°C). The homogenate was centrifuged to pellet the cell suspension, washed several times, and resuspended in dimethyl sulfoxide freezing media.

Frozen cell aliquots were thawed at 37°C and resuspended in culture media (Dulbecco’s modified Eagle’s medium supplemented with 20% fetal bovine serum (both from STEMCELL Technologies Inc., Vancouver, BC, Canada), 1% penicillin/streptomycin, and 1% l-glutamine (Lonza Group AG). Cells were cultured on collagen-coated plates (37°C, 5% CO2); medium was replaced every 3 to 4 days. On reaching 70% to 80% confluence, MSCs were trypsinized (0.25% Trypsin-EDTA; Life Technologies, Carlsbad, CA) to a new passage.

Cultured MSCs at passages 4 to 10 were used in all the experiments. The cells were surface stained using a panel of flow cytometry anti-human antibodies against CD73, CD90, CD105, CD34, CD45, CD14, HLA-ABC, CD49c, CD49e, HLA-DR (BD Biosciences-BD Pharmingen, San Jose, CA), CD94d, and CD49f (eBioscience Inc., San Diego, CA) and were analyzed by flow cytometry. The MSC line selected for this study expressed the mesenchymal stem cell markers CD73, CD90, and CD105. In addition, the cells also expressed HLA class I and various cell adhesion markers (CD49c, CD49d, CD49e, and CD49f). The cells were negative for hematopoietic cell surface antigens CD34, CD45, CD14, CD19, and HLA-DR (HLA class II, not shown). These molecular characteristics conform to the consensus criteria for defining (human) MSCs established by the International Society for Cellular Therapy.

Animal Husbandry, Hyperoxia Exposure, and Cell Administration

Six-week-old timed pregnant severe combined immunodeficiency—beige mice (Fox Chase SCID beige, T- and B-cell deficient, natural killer cell impaired; Charles River Laboratories, Wilmington, MA) were maintained under pathogen-free conditions. Newborn mice were exposed to room air or hyperoxia (90% O2) from birth until postnatal day 7 (day of birth is postnatal day 1). For hyperoxia exposure, mice were placed in an airtight Plexiglas chamber. Oxygen concentrations were continuously monitored and controlled with a ProOx 110 in-line oxygen analyzer and controller system (BioSpherix, Redfield, NY). Nursing dams were rotated daily between air- and oxygen-exposed litters to minimize maternal oxygen toxicity.

On postnatal day 5, corresponding to a time point of intense acute lung injury and active tissue remodeling, the pups were randomly assigned to MSC administration by the i.n. or i.p. route. For i.n. inoculation, 20 µL of cell suspension containing 0.1, 0.5, or 1 × 106 cells was placed over the nasal orifices, as previously described, thus ensuring aspiration of stem cells into the lungs. For i.p. delivery, a 25-µL Hamilton syringe (Hamilton Co., Reno, NV) with a 26-gauge needle was used for injection of the cell suspension (0.1, 0.5, or 1 × 106 cells in 20 µL of PBS) into the left lower quadrant. The injection was preceded by aspiration to ensure proper localization of the needle. Hyperoxia-exposed sham controls received equal volumes of vehicle buffer (PBS). The i.n. and i.p. deliveries were well tolerated by the normoxic and hyperoxia-exposed pups. The animals were sacrificed 48 hours or 8 weeks after transplantation. All the animal experiments were approved by the institutional animal care and use committee at Lifespan Health System’s Rhode Island Hospital and were conducted in accordance with institutional guidelines for the care and use of laboratory animals.

Analysis of Lung Mechanics

Invasive lung function testing was performed 8 weeks after transplantation by the forced oscillation technique in anesthetized, nonparalyzed, tracheotomized animals with intact chest wall. Mice were deeply anesthetized with an i.p. injection of 140 mg/kg of ketamine and 14 mg/kg of xylazine to eliminate all spontaneous breathing under anesthesia. Body weights were recorded at the start of the procedure. The tracheal cannula was connected to a flexiVent computer-controlled small animal ventilator (SCIREQ Scientific Respiratory Equipment Inc., Montreal, QC, Canada). The mice were ventilated with a tidal volume of 10 mL/kg at an average breathing frequency of 150 breaths/min and a positive end-expiratory pressure of 3 cm H2O to prevent alveolar collapse. Lung function parameters were calculated by fitting pressure and volume data to the single-compartment and constant-phase models.

We measured resistance, compliance, and elastance of the entire respiratory system (airways, lungs, and chest wall) using the Snapshot-150 v7.0 perturbation. Newtonian resistance (a measure of central airway resistance), tissue damping, and tissue elastance were measured using the subsequent Quickprime-3 v7.0 forced oscillation perturbation. Tissue hysteresis was calculated from tissue damping and tissue elastance values. Finally, maximal pressure-volume (PV) loops were generated between 30 and −30 cm H2O pressure (PVR-P = PV ramp pressure regulated) to obtain maximal vital (total) lung capacity, inspiratory capacity from zero pressure, form of deflating PV loop, quasi-static compliance and elastance, and hysteresis (area between the inflating and deflating parts of the PV loop). All the maneuvers and perturbations were performed until at least three
reproducible measurements were recorded. A coefficient of
determination of 0.95 was the lower limit for acceptance of
a measurement. For each parameter and each animal, the
average of at least three measurements was calculated. The
individuals performing the lung function studies (L.L.,
Q.M., S.C.) and those analyzing the data (Q.M., M.E.D.P.)
were blinded with respect to the experimental group of
the animals. All the data were collected using flexiWare software
version 7.0 (SCI REQ Scientific Respiratory Equipment
Inc.) and analyzed offline using Excel 2010 software
(Microsoft Corp., Redmond, WA).

Tissue Processing and Bronchoalveolar Lavage

After lung function testing, the animals were euthanized by
sectioning of diaphragm and abdominal vessels. Bronchoalveolar lavage was performed by repetitive tracheal
instillation and aspiration of 0.7 mL of sterile saline (0.9%
NaCl) until diffuse and complete expansion of the paren-
chyma was observed. The recovered fluid was pooled. Cells
were spun onto microscope slides (1500 rpm, 5 minutes)
(Shandon Cytospin 4 cytocentrifuge; Thermo Scientific,
Waltham, MA), air-dried, and Giemsa-Wright stained for
differential cell counts of macrophages, eosinophils, neu-
rophils, and lymphocytes. Some slides were Perls stained
detection of iron pigment.

After lavage, the lungs were formalin fixed by standard-
ized tracheal instillation at a constant pressure of 20 cm H2O.
All the lungs were inflated equally on the same apparatus.
Immediately after inflation, the trachea was ligated and the
lungs were immersed in formalin for overnight fixation.
Selected organs (liver, kidneys, spleen, and heart) were
resected and immersion fixed in formalin. To examine the
distribution of transplanted cells, selected animals were
studied 48 hours after cell administration. In these animals,
all abdominal organs and tissues were removed and immers-
ion fixed en bloc. After overnight fixation, the tissues were
dehydrated in graded ethanol solutions, embedded in
paraffin, and stained with hematoxylin and eosin.

Analysis of Cell Fate and Engraftment

The presence and localization of MSCs after i.n. or i.p.
administration was monitored by taking advantage of the
species mismatch between the human cord blood-derived
MSCs and their murine host. Systemic and pulmonary dis-
tribution of MSCs was tracked by antivimentin immuno-
histochemical analysis using a specific anti-human vimen-
tin antibody (N1521; Dako, Glostrup, Denmark). This antibody
does not recognize the mouse antigen. Antibody binding
assessments were made on coded slides by a single observer
who was unaware of the experimental condition of the animal analyzed.

Histomorphometric Analysis of Lung Growth and
Alveolar Remodeling

Morphometric assessment of the growth of peripheral air-
exchanging lung parenchyma and the contribution of the
various lung compartments (airspace versus parenchyma) to
the total lung volume was performed using stereologic volumetric techniques, as previously described.45,46 The
inflated lung volume was determined according to the
Archimedes principle.43 The areal density of air-exchanging parenchyma [A(ae/lu)] was determined by point counting
based on computer-assisted image analysis. The total volume
of air-exchanging parenchyma [V(ae)] was calculated by
multiplying A(ae/lu) by inflated lung volume. Alveolarization
was quantified by computer-assisted histomorpho-
metric analysis of mean cord length and mean septal wall
thickness, as previously described.48 All the morphometric
assessments were made on coded slides by a single observer
(M.E.D.P.) who was unaware of the experimental condition
of the animal analyzed.

Data Analysis

Values are expressed as means ± SD or SEM. Statistical
analyses were performed using standard one-way analysis of
variance with the Dunnett multiple comparison test
(GraphPad Prism 6 software; GraphPad Software Inc., San
Diego, CA). The significance level was set at P < 0.05.

Results

Effects of MSC Administration to Hyperoxic Newborn
Mice on Somatic Growth, Lung Growth, and Alveolar Remodeling

Newborn mice were exposed to 90% O2 from birth until
postnatal day 7, treated with MSCs (i.n. or i.p.) on postnatal
day 5, and sacrificed 8 weeks after transplantation. The
long-term effects of neonatal hyperoxia exposure on somatic and lung growth were determined by comparative analysis
of PBS-treated normoxic and hyperoxic controls (Tables 1
and 2).

Hyperoxia during the first neonatal week (late saccular to
early alveolar stage of development) had a prolonged adverse effect on somatic growth, resulting in 23% and 14%
reductions in body weight in in the i.n. and i.p. PBS—treated
control groups, respectively. As expected, histopathologic examination of the lungs of hyperoxia-exposed control
animals revealed expanded, simplified airspaces, contrasting with the complex alveolar network of smaller, polygonal airspaces seen in normoxic controls (Figure 1, A and B).

Stereologic volumetry demonstrated a significant reduction in AA(ae/lu) and V(ae) in hyperoxic versus normoxic control animals, whereas the ratio of V(ae) to body weight remained equivalent between both groups (Figure 1E and Table 1). In agreement with their emphysema-like lung morphology, the mean cord length of hyperoxic controls was significantly (56%) larger than that of normoxic controls, reflective of diminished alveolar septation (alveolar simplification) (Figure 1F). The mean septal wall thickness of hyperoxic controls was slightly smaller than that of normoxic controls (difference not statistically significant) (Figure 1G and Tables 1 and 2).

After establishment of baseline values in normoxic and hyperoxic PBS-treated control animals, we determined the effects of i.p. or i.n. administration of MSCs (low dose, 0.1 \( \times \) 10^6; medium dose, 0.5 \( \times \) 10^6; and high dose, 1 \( \times \) 10^6) on somatic and lung growth 8 weeks after transplantation. The i.n. administration of MSCs had no significant effects on body weight or lung growth (Table 1). Similarly, i.n. MSCs had no effect on alveolar remodeling, as assessed by light microscopy (Figure 1C), AA(ae/lu), mean cord length, and mean septal wall thickness (Figure 1, E–G).

The i.p. MSC administration tended to be associated with a further reduction in body weight in hyperoxic animals (means \( \pm \) SD body weight: 15.80 \( \pm \) 2.78 g in the high-dose MSC group versus 18.08 \( \pm \) 2.21 g in hyperoxic controls; difference not statistically significant) (Table 2).
morphologic features of i.p. MSC-treated lungs appeared similar to those of hyperoxia-exposed controls by light microscopic inspection (Figure 1D). The airspaces were enlarged, with obvious diminished alveolar septation compared with normoxic controls. Computer-assisted morphometric analysis revealed several tendencies in the i.p. MSC treatment group, specifically: a relative increase in means ± SD of $A_{ae/lu}$ (32.25% ± 3.10% in the high-dose MSC group versus 29.98% ± 2.46% in hyperoxic controls); a relative increase in the means ± SD ratio of $V_{ae}$ to body weight (8.47 ± 0.88 $\mu$L/g in the high-dose MSC group versus 7.89 ± 1.31 $\mu$L/g in hyperoxic controls); and a mild decrease in means ± SD mean cord length (27.18 ± 3.40 $\mu$m in the high-dose MSC group versus 29.45 ± 6.24 $\mu$m in

Figure 1 Analysis of alveolarization. A: Representative micrograph of a normoxia-exposed control animal at 9 weeks of age showing a complex alveolar network. B: Representative micrograph of a hyperoxia-exposed control animal exposed to 90% O$_2$ from birth until postnatal day 7 examined at 9 weeks of age. The airspaces are large and simplified, replicating the emphysema-like morphologic features of new BPD. C: Representative micrograph of a hyperoxia-exposed animal treated with $1 \times 10^6$ MSCs via the i.n. route. D: Representative micrograph of a hyperoxia-exposed animal treated with $1 \times 10^6$ MSCs via the i.p. route. E–G: Morphometric analysis of lungs 8 weeks after transplantation of $1 \times 10^6$ (high-dose) MSCs to hyperoxia-exposed newborn mice via intranasal (i.n.) or i.p. delivery. Controls were PBS-treated normoxic and hyperoxic animals. Hematoxylin and eosin staining was used (A–D). Values represent means ± SD. $n \geq 6$ animals per group. *$P < 0.05$, **$P < 0.01$, and ***$P < 0.0001$. Original magnification: ×200 (A–D).
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Table 3  Mechanical Lung Function Parameters (90% O2 Experiment) for I.N. Administration of MSCs

<table>
<thead>
<tr>
<th>Parameter</th>
<th>Normoxia</th>
<th>Hyperoxia</th>
<th>Hyperoxic control animals (n = 9)</th>
<th>Hyperoxic controls (high dose) (n = 9)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Inspiratory capacity</td>
<td>0.70 (0.56–0.87)</td>
<td>0.77 (0.64–1.13)</td>
<td>0.85* (0.79–0.96)</td>
<td>0.80 (0.64–0.97)</td>
</tr>
<tr>
<td>Resistance of the respiratory system</td>
<td>0.57 (0.4–0.69)</td>
<td>0.59 (0.49–0.86)</td>
<td>0.53 (0.50–0.79)</td>
<td>0.55 (0.49–0.69)</td>
</tr>
<tr>
<td>Compliance of the respiratory system</td>
<td>0.043 (0.033–0.055)</td>
<td>0.054** (0.046–0.072)</td>
<td>0.055* (0.051–0.063)</td>
<td>0.054 (0.041–0.064)</td>
</tr>
</tbody>
</table>

Values represent medians (minimum–maximum). Experimental animals were treated with 0.1 × 10⁶ (MSC low), 0.5 × 10⁶ (MSC medium), or 1 × 10⁶ (MSC high) MSCs via the i.n. route.

*P < 0.05, **P < 0.01, ***P < 0.001, and ****P < 0.0001 versus PBS i.n. normoxia.

K, curvature of the upper portion of the deflation PV curve.

Table 4  Mechanical Lung Function Parameters (90% O2 Experiment) for I.P. Administration of MSCs

<table>
<thead>
<tr>
<th>Parameter</th>
<th>Normoxia</th>
<th>Hyperoxia</th>
<th>Hyperoxic control animals (n = 9)</th>
<th>Hyperoxic controls (high dose) (n = 9)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Inspiratory capacity</td>
<td>0.71 (0.55–0.82)</td>
<td>0.87** (0.70–0.98)</td>
<td>0.73 (0.66–1.03)</td>
<td>0.81 (0.67–1.05)</td>
</tr>
<tr>
<td>Resistance of the respiratory system</td>
<td>0.57 (0.51–0.60)</td>
<td>0.57 (0.49–0.71)</td>
<td>0.57 (0.48–0.66)</td>
<td>0.54 (0.49–0.72)</td>
</tr>
<tr>
<td>Compliance of the respiratory system</td>
<td>0.044 (0.034–0.051)</td>
<td>0.059*** (0.045–0.072)</td>
<td>0.048 (0.042–0.068)</td>
<td>0.050 (0.043–0.072)</td>
</tr>
<tr>
<td>Elastance of the respiratory system</td>
<td>22.97 (19.72–29.85)</td>
<td>17.05*** (13.87–22.18)</td>
<td>20.91 (14.68–23.62)</td>
<td>20.05 (13.94–23.44)</td>
</tr>
</tbody>
</table>

Values represent medians (minimum–maximum). Experimental animals were treated with 0.1 × 10⁶ (MSC low), 0.5 × 10⁶ (MSC medium), or 1 × 10⁶ (MSC high) MSCs via the i.p. route.

*P < 0.05, **P < 0.01, ***P < 0.001, and ****P < 0.0001 versus PBS i.p. normoxia.

K, curvature of the upper portion of the deflation PV curve.

hyperoxic controls) (Table 2 and Figure 1, E and F). The means ± SD septal wall thickness of MSC-treated hyperoxic animals (high dose) was significantly larger than that of hyperoxic control animals (7.48 ± 0.71 μm versus 6.44 ± 0.83; P < 0.05) (Figure 1G), consistent with MSC-related modification of the pulmonary interstitium.

Functional Effects of MSC Administration to Hyperoxic Newborn Mice

We observed that i.p. MSC administration to hyperoxic newborn pups was associated with significant alveolar septal widening and modest alteration of other morphometric outcome parameters of alveolarization, such as mean cord length and λ3 (αe/lu). The i.n. administration of MSCs had no morphologic or morphometric effects. To determine whether MSC administration had any lasting functional effects, lung mechanics were studied by forced oscillation technique (Flexivent ventilator) 8 weeks after i.p. or i.n. administration of MSCs (low, medium, or high dose) or PBS. We first compared the lung mechanics of PBS-treated normoxic controls with those of hyperoxia-exposed controls to determine whether neonatal exposure to 90% O2 has any long-term functional effects. As shown in Tables 3 and 4, this severe neonatal hyperoxia regimen resulted in significantly increased pulmonary compliance (compliance of the respiratory system and...
quasi-static compliance), reduced elastance (elastance of the respiratory system and tissue elastance), increased inspiratory capacity, increased hysteresivity, increased total lung capacity (Salazar-Knowles parameter A), and increased curvature of the upper portion of the deflation PV curve (Salazar-Knowles parameter K) in adulthood. In contrast, neonatal hyperoxia exposure had no lasting effects on central airway resistance (Newtonian resistance), resistance of the respiratory system, or area of the PV loop (Tables 3 and 4).

After determination of the baseline lung mechanics of hyperoxia-exposed control animals, we studied the long-term functional effects of neonatal MSC administration. The i.n. MSC administration had no obvious effects on any of the lung function parameters studied, specifically: the pulmonary compliance, elastance, hysteresivity, and inspiratory capacity of animals treated with i.n. MSCs were similar to those of hyperoxic PBS-treated controls, regardless of the MSC dose used (Table 3). In contrast, i.p. MSC administration had a significant and seemingly dose-dependent effect on several lung function parameters. At the highest dose studied (1 × 10⁶ cells), i.p. MSCs effectively restored inspiratory capacity, compliance of the respiratory system, quasi-static compliance, elastance of the respiratory system, and tissue elastance to normoxic levels (Table 4). The i.p. MSCs further significantly reduced the total lung capacity and area of the PV loop of hyperoxic animals, reaching levels below those seen in normoxic animals. Even at the highest dose, i.p. MSCs had no obvious effects on airway resistance (Newtonian resistance), resistance of the respiratory system, or tissue hysteresivity (Tables 3 and 4). Selected functional parameters are shown in Figure 2.

PV loops were generated using the data provided by the stepwise PVr-P maneuver. For the sake of clarity, only data for PBS-treated normoxic and hyperoxic animals and for hyperoxic animals treated with high-dose MSCs are shown in Figure 3. In PBS-treated control animals, neonatal hyperoxia exposure was associated with an upward shift of the PV curve in adulthood, consistent with an emphysematous pulmonary phenotype (Figure 3). The i.n. MSC administration had no obvious effects on the position or shape of the PV loop at any dose; in fact, the PV loop of hyperoxia-exposed, i.n. MSC–treated animals at low, medium, or high doses showed almost perfect alignment with that of hyperoxic controls.
In contrast to the lack of effects seen after i.n. MSC administration, i.p. high-dose MSC administration was associated with a dramatic downward shift of the hyperoxic PV loops to reach normoxic levels (Figure 3). This downward shift was associated with closer approximation of inspiration and expiration curves, consistent with the reduction in area of the PV loop described in the previous paragraph and in Figure 2. The PV loops of animals treated with low- or medium-dose i.p. MSCs were positioned intermediate between those of PBS-treated hyperoxic controls and those of animals treated with high-dose i.p. MSCs (not shown).

Taken together, these lung mechanics studies suggest that neonatal hyperoxia exposure leads to an emphysema-like functional phenotype in adulthood characterized by increased compliance and decreased elasticity (diminished tissue recoil). The i.p. administration of high-dose (1 × 10^6 per pup) MSCs during the neonatal period resulted in restoration or preservation of normal lung compliance and elasticity 8 weeks after transplantation, suggestive of normalization of tissue recoil. Irrespective of dose, i.n. MSCs had no obvious effects on lung function.

Analysis of Early Pulmonary and Systemic Distribution of MSCs in Newborn Mice after i.n. or i.p. Administration

We studied cell fate and distribution within 48 hours of i.n. and i.p. administration in a small number of animals (n = 4 per delivery route). We sought to understand the mechanisms underlying the observed functional effects of MSCs after i.p. delivery and the lack of effect after i.n. delivery. The dispersion of i.n. or i.p. administered MSCs (1 × 10^6) to lungs and selected organs was monitored by anti-human vimentin immunohistochemical analysis. The i.n. administration of MSCs in newborn mice resulted in even and effective cellular distribution in both lungs (Figure 4A), confirming previous results with murine whole bone marrow or human CD34^+ hematopoietic progenitor cells. There was no obvious histopathologic evidence of an associated inflammatory response. No human vimentin–positive cells were detected in the liver, spleen, bone marrow, or kidneys (not shown). As expected after i.n. inoculation, very rare human vimentin–positive cells were detected in the lumen of the gastrointestinal tract, reflective of occasional spillage of cells from the upper respiratory tract (Figure 4B).

We studied the short-term pulmonary and systemic distribution of MSCs 48 hours after i.p. administration. No vimentin-immunoreactive MSCs were detected in the lung parenchyma of any of four animals examined. Similarly, no human vimentin–immunoreactive cells were seen in the spleen, liver, kidneys, or bone marrow (not shown). However, examination of the remaining abdominal contents, subjected to histologic analysis in toto, revealed the presence of MSCs in all the animals, stably embedded in peritoneal or retroperitoneal organs and soft tissues. These human vimentin–positive cells were detected as single cells, small clusters, or even distinct, highly cellular nodular aggregates displaying brisk proliferative activity (Figure 4, C–F). Omission of primary anti-vimentin antibody abolished all immunoreactivity. Anti-human vimentin staining of tissues of control newborn mice that did not receive MSCs was uniformly negative (not shown).

Analysis of Long-Term Engraftment of MSCs or Their Progeny in Lungs and Other Organs of Newborn Mice

The pulmonary and systemic presence of MSCs or MSC-derived cells 8 weeks after transplantation was studied by human-specific alu-FISH analysis. These studies were limited to animals treated with the high-dose (1 × 10^6 cells per pup) regimen. Rare alu-FISH–positive nuclei were identified in the lungs of all MSC recipient animals, regardless of delivery
route (Figure 5). The engrafted cells seemed to be randomly distributed in central and peripheral lung parenchyma, without obvious topographic predilection. Most MSC-derived cells were single, although occasionally alu-FISH-positive cells were seen as doublets or triplets, suggestive of recent clonogenic expansion (Figure 5, A and C). In support of this interpretation, occasional proliferative activity was detected in engrafted MSC-derived cells by combined alu-FISH analysis and Ki-67 immunostaining in the i.n. and i.p. treatment groups (Figure 5B). In view of the low numerical density of MSC-derived cells in either delivery group, no formal quantitation was performed. The systemic presence of MSC-derived cells was studied by vimentin staining and alu-FISH analysis of selected organs. No human-derived cells were detected in random sections of liver, spleen, heart, or kidneys (not shown).

Taken together, the short- and long-term cellular distribution studies indicate that i.n. administration results in homogenous distribution solely in the lung parenchyma. After i.p. administration, cells tended to remain in the peritoneum or retroperitoneum, although eventually some disseminated to and were retained in the lungs. The viability of cells in the peritoneal cavity and their virtual absence in lungs immediately after transplantation suggest that any pulmonary effects from i.p. MSC administration are likely attributable to systemic paracrine effects rather than to direct structural integration. Unfortunately, no detailed examination of abdominal organs and/or peritoneal or retroperitoneal soft tissues 8 weeks after transplantation to assess long-term persistence of MSCs in these locations was performed.

Analysis of Cellular Composition of Bronchoalveolar Lavage Fluid 8 Weeks after Transplantation

The paucity of intrapulmonary human-derived cells detected 8 weeks after transplantation in this study supports the growing notion that the pulmonary effects of stem cells, regardless of cell type, are based on paracrine, anti-inflammatory and/or immunomodulatory, activities of the cells. To begin to explore this potential role in the transplant model, we examined the morphologic features of cells in the bronchoalveolar lavage fluid 8 weeks after transplantation.

The cellular composition of the lavage fluid was equivalent among the various treatment groups: in all groups, alveolar macrophages accounted for >95% of cells. Variable numbers of scattered lymphocytes and rare eosinophils composed the remaining 5%. Although the cellular composition was similar, the appearance of alveolar macrophages varied among the various treatment groups. Compared with normoxic controls,
the lavage fluid of hyperoxia-exposed control animals contained a relatively high proportion of macrophages containing cytoplasmic granules of heterogeneous size and shape (Figure 6, A and B). These cytoplasmic granules were morphologically consistent with hemosiderin; positive Perls staining confirmed the iron content of the cytoplasmic granules (Figure 6E). We compared the presence of hemosiderin-laden macrophages in MSC-treated animals (high dose) (Figure 6, C and D) and observed that the fraction of hemosiderin-laden macrophages was significantly lower in animals treated with i.p. MSCs than in hyperoxic controls (Figure 6F). The lower fraction of hemosiderin-laden macrophages 8 weeks after transplantation suggests that acute, hemorrhagic lung injury induced by hyperoxia exposure may have been shortened or attenuated by high-dose i.p. MSC treatment.

Discussion

Clinical trials investigating the efficacy and short-term safety of MSC therapy in newborns with BPD are currently in progress.38 The initial published results of the first completed small-scale clinical trial seem to demonstrate that MSC cell therapy may be safe and have modest, short-term beneficial effects.38 A better understanding of the role of the many variables involved in successful MSC therapy, including route of administration and dose response, may contribute to the optimization of future clinical trials. Herein, we performed a systematic comparative analysis of the functional and morphologic effects of cultured human cord tissue MSCs administered via either the systemic (i.p.) or intrapulmonary (i.n.) route. Cells (0.1, 0.5, or $1 \times 10^6$ cells per pup) were administered during the newborn period (postnatal day 5) to immunosuppressed severe combined immunodeficiency—beige mice with hyperoxia-induced neonatal lung injury; the functional and morphologic/morphometric outcomes were assessed 8 weeks after transplantation.

Lung mechanics were assessed by the invasive forced oscillation technique (flexiVent ventilator), which provides accurate and reproducible estimation of critical parameters, such as compliance, elastance, and resistance of the rodent respiratory system.13 In agreement with similar studies by
Yee et al.49 we first established that 1 week of hyperoxia exposure at 90% O2 in the newborn period has long-lasting functional effects in adulthood characterized by significantly increased lung compliance and diminished elastance, associated with an upward shift of the PV loops. Increased lung compliance/reduced elastance is a functional hallmark of the hyperoxia-exposed animals than in normoxic controls. E: Perl’s iron staining of lavage fluid of a hyperoxia-exposed control animal showing two alveolar macrophages with abundant cytoplasmic hemosiderin granules. F: Fraction of granule-containing alveolar macrophages, expressed as a percentage. Giemsa stain was used. Values represent means ± SD. n ≥ 6 animals per group. *P < 0.01, **P ≤ 0.0001 versus normoxic PBS-treated controls; †P < 0.001 versus hyperoxic PBS-treated controls. Original magnification, ×1000 (oil) (D).

Figure 6  Analysis of bronchoalveolar lavage fluid. A–D: Representative morphology of alveolar macrophages. A: Normoxia, PBS control. B: Hyperoxia, PBS control. C: Hyperoxia, MSC high i.n. D: Hyperoxia, MSC high, i.p. Cytoplasmic granules are more frequent and conspicuous in alveolar macrophages of hyperoxia-exposed animals than in normoxic controls. E: Perl’s iron staining of lavage fluid of a hyperoxia-exposed control animal showing two alveolar macrophages with abundant cytoplasmic hemosiderin granules. F: Fraction of granule-containing alveolar macrophages, expressed as a percentage. Giemsa stain was used. Values represent means ± SD. n ≥ 6 animals per group. *P < 0.01, **P < 0.0001 versus normoxic PBS-treated controls; †P < 0.001 versus hyperoxic PBS-treated controls. Original magnification, ×1000 (oil) (D).

The i.p. administration of human cultured MSCs to hyperoxia-exposed newborn mice resulted in a dose-dependent decrease in lung compliance (and a corresponding increase in elastance) by 8 weeks after transplantation. At the highest dose studied (1 × 10⁶ MSCs per animal), i.p. MSC administration effectively restored/normalized lung compliance, elastance, and PV loops to normoxic control levels. The exact biochemical/structural correlates of the observed increased lung compliance/elastic recoil associated with i.p. MSC administration remain to be determined. Pulmonary elastic recoil is approximately equally determined by two main anatomical attributes of the lung parenchyma: the elastic properties of its interstitium and the unique structure and complexity of the liquid-filled alveolar network.51 As determined in this study, high-dose i.p. MSC delivery caused only a mild increase in alveolar septation (decrease in mean cord length). More strikingly, however, high-dose i.p. MSC delivery was associated with a significant increase in mean septal wall thickness, suggesting that the normalizing functional effects of IP MSCs were mediated, in large part, by modification of abundance and/or composition of the interstitial extracellular matrix, leading to improved pulmonary elastic recoil. Aslam et al.52 recently reported similar beneficial, cytoprotective effects after systemic delivery of bone marrow–derived MSCs to hyperoxia-exposed newborn mice. In the study by Aslam et al, MSC administration resulted in a modest but significant increase in alveolar numbers and decreased, rather than increased, alveolar septal wall thickness in hyperoxia-exposed mice. Although the exact reason is unclear, it is likely that the different hyperoxia models (75% versus 90%), mouse strains (FVB versus severe combined immunodeficiency–beige), MSC types (syngeneic mouse bone marrow derived versus xenogeneic human cord derived), study lengths (14 days versus 8 weeks), and delivery routes (i.v. versus i.p.) contributed to these divergent outcomes.

The apparent matrix-modulating effects of MSCs after i.p. administration are consistent with the functions of these mesenchymally active, potentially proinflammatory cells.52 MSCs have been shown to stimulate lung fibroblast proliferation and matrix production, two characteristics of fibroproliferative lung disease.53 Although the relatively short-term (8-week) effects of i.p. MSCs in this study seemed to be beneficial and restored tissue recoil to baseline levels, the longer-term matrix-modulating effects of these mesenchymally active cells deserve close monitoring. Available preclinical data from various lines of investigation suggest that MSC administration may contribute to pulmonary fibrosis, at least in part, by differentiation into myofibroblasts.54–56

Whereas i.p. MSC delivery was found to have significant effects, i.n. delivery of MSCs from the same batch to the same host litter and at similar doses did not have any noticeable effects on lung mechanics. Specifically, i.n. inoculation of MSCs at doses ranging from 0.1 and 1 × 10⁶ cells per pup did not affect lung compliance, elastance, PV loops, or resistance. Similarly, i.n. inoculation had no effects on alveolar remodeling or septal wall thickness. These results are in disagreement with other studies that reported beneficial effects of intratracheal MSCs on alveolar septation, lung vascular injury, and/or exercise intolerance in immunocompetent hyperoxia-exposed newborn rats.13,15,55 The reasons for these apparent discrepancies remain unclear. Differences in the timing of cell administration, model of neonatal lung injury, recipient strain, MSC cell processing, culturing, and donor effects may be implicated.

Evidence is continuously accumulating suggesting multiple immunomodulatory and anti-inflammatory paracrine
effects of MSCs, either mediated directly by peptides/growth factors or by transfer of exosomes, microvesicles, or organelles (reviewed by Weiss10,57). Detailed analysis of the biochemical and molecular underpinnings of the observed functional and morphologic effects of i.p. MSCs was beyond the scope of the present study. However, several of these findings support the notion that the observed effects of i.p. MSCs may be attributable to indirect, paracrine, anti-inflammatory effects. In agreement with observations by others19 (reviewed by Weiss10), structural integration of MSCs or their progeny into the lung parenchyma was only sporadic. Instead, the stable engraftment and brisk proliferative activity observed in peritoneal and retroperitoneal MSC implants studied immediately after transplantation suggests that these cells may have been capable of secretory activity for a prolonged period after administration.

Parenthetically, the cellular composition of the bronchoalveolar lavage fluid was equivalent between normoxic or hyperoxia-exposed controls and MSC-treated hyperoxia-exposed animals, consisting almost exclusively of alveolar macrophages in all groups. Closer examination revealed interesting differences between these groups with respect to the cellular features. Hemosiderin is a product of hemoglobin degradation, thus hemosiderin-laden alveolar macrophages are generally considered to be reflective of past intra-alveolar hemorrhage, such as may be seen in association with acute lung injury. As expected, the fraction of hemosiderin-containing macrophages was much higher in hyperoxia-exposed animals than in normoxic controls. The fraction of hemosiderin-laden macrophages was significantly lower in i.p. MSC-treated animals than in hyperoxic controls, suggesting that MSC administration in the newborn period may have attenuated or shortened the acute lung injury phase.

This study has some limitations. First, by design, the study focused on comparative analysis of effects of administration route and dose-dependent effects of a single, albeit randomly selected, MSC cell line. It is unclear how far the results obtained in this study can be extrapolated to other MSCs and their cell lines. Other researchers have described significant variability among MSCs from different tissue sources, different donors, and even within one cell line, from different passages (reviewed by Weiss10). Second, the exact anatomical and biochemical mechanisms underlying the observed improved tissue recoil after i.p. MSC administration, as well as their long-term consequences, remain to be resolved. Third, this study did not provide insight into the putative paracrine mechanisms underlying or contributing to the beneficial effects of i.p. MSCs.

In summary, these results suggest that i.p. (systemic) administration of cultured human MSCs at a high dose has the capacity to restore the lung mechanics (compliance, recoil) of hyperoxia-exposed newborn mice to normal levels, presumably by modification of the interstitial matrix. The brisk initial peritoneal engraftment of MSCs and low pulmonary engraftment levels suggest that these effects were mediated by paracrine factors rather than by direct structural regeneration of the injured lung parenchyma by MSCs or their progeny. In contrast to the striking beneficial effects achieved by i.p. administration, i.n. inoculation of MSCs at the same dose had no effects on lung function or morphologic features. This study provides additional evidence of the beneficial therapeutic potential of MSCs in neonatal lung diseases and in adult lung diseases characterized by diminished tissue recoil, such as chronic obstructive lung disease/emphysema. Future studies will need to address the long-term safety of MSC therapy, especially when considered for use in newborns, as well as the importance of timing of MSC administration, donor and batch effects, and the molecular mechanisms underlying the observed functional effects of i.p.-delivered MSCs.

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